Amendments to the Claims

CLAIMS

1. (Original) A urethane composition comprising: (A) at least one compound containing at least one isocyanate group; and (B) a carbinol-functional silicone resin comprising the units:

$$(R^{1}_{3}SiO_{1/2})_{a}$$
 (i)

$$(R^2_2SiO_{2/2})_b$$
 (ii)

$$(R^3SiO_{3/2})_c$$
 (iii) and

$$(SiO_{4/2})_d$$
 (iv)

wherein R^1 and R^2 are each independently a hydrogen atom, an alkyl group having from 1 to 8 carbon atoms, an aryl group, a carbinol group free of aryl groups having at least 3 carbon atoms, or an aryl-containing carbinol group having at least 6 carbon atoms, R^3 is an alkyl group having from 1 to 8 carbon atoms or an aryl group, a has a value of less than or equal to 0.6, b has a value of zero or greater than zero, c has a value of greater than zero, d has a value of less than 0.5, and the value of a + b + c + d = 1, and with the proviso that when each R^2 is methyl the value of b is less than 0.3; where the mole ratio of carbinol groups to isocyanate groups is from about 0.8:1 to 1.2:1.

2. (Original) A urethane composition comprising: (A) 100 weight parts of at least one compound containing at least one isocyanate group; (B) 3-300 weight parts of a carbinol-functional silicone resin comprising the units:

$$(R^{1}_{3}SiO_{1/2})_{a}$$
 (i)

$$(R^2_2SiO_{2/2})_b$$
 (ii)

$$(R^3SiO_{3/2})_c$$
 (iii) and

$$(SiO_{4/2})_d$$
 (iv)

wherein R¹ and R² are each independently a hydrogen atom, an alkyl group having from 1 to 8 carbon atoms, an aryl group, a carbinol group free of aryl groups having at least 3 carbon atoms, or an aryl-containing carbinol group having at least 6 carbon atoms, R³ is an alkyl Page 3 of 15

group having from 1 to 8 carbon atoms or an aryl group, a has a value of less than or equal to 0.6, b has a value of zero or greater than zero, c has a value of greater than zero, d has a value of less than 0.5, and the value of a + b + c + d = 1, and with the proviso that when each R^2 is methyl the value of b is less than 0.3; (C) up to 250 weight parts of an organic polyol; and (D) up to 10 weight parts of a cure rate modifier.

3. (Cancelled)

4 (Original) A urethane composition obtained by a method comprising reacting (A) at least one compound containing at least one isocyanate group; and (B) a carbinol-functional silicone resin comprising the units:

$$(R^{1}_{3}SiO_{1/2})_{a}$$
 (i)

$$(R^2_2SiO_{2/2})_b$$
 (ii)

$$(R^3SiO_{3/2})_c$$
 (iii) and

$$(SiO_{4/2})_d$$
 (iv)

wherein R^1 and R^2 are each independently a hydrogen atom, an alkyl group having from 1 to 8 carbon atoms, an aryl group, a carbinol group free of aryl groups having at least 3 carbon atoms, or an aryl-containing carbinol group having at least 6 carbon atoms, R^3 is an alkyl group having from 1 to 8 carbon atoms or an aryl group, a has a value of less than or equal to 0.6, b has a value of zero or greater than zero, c has a value of greater than zero, d has a value of less than 0.5, and the value of a + b + c + d = 1, and with the proviso that when each R^2 is methyl the value of b is less than 0.3, where the mole ratio of carbinol groups to isocyanate groups is from about 0.8:1 to 1.2:1.

5. (Original) A urethane composition obtained by a method comprising reacting (A) 100 weight parts of at least one compound containing at least one isocyanate group; (B) 3-300 weight parts of a carbinol-functional silicone resin comprising the units:

$$(R^{1}_{3}SiO_{1/2})_{a}$$
 (i)

$$(R^2_2SiO_{2/2})_b$$
 (ii)

 $(R^3SiO_{3/2})_c$ (iii) and $(SiO_{4/2})_d$ (iv)

wherein R^1 and R^2 are each independently a hydrogen atom, an alkyl group having from 1 to 8 carbon atoms, an aryl group, a carbinol group free of aryl groups having at least 3 carbon atoms, or an aryl-containing carbinol group having at least 6 carbon atoms, R^3 is an alkyl group having from 1 to 8 carbon atoms or an aryl group, a has a value of less than or equal to 0.6, b has a value of zero or greater than zero, c has a value of greater than zero, d has a value of less than 0.5, and the value of a + b + c + d = 1, and with the proviso that when each R^2 is methyl the value of b is less than 0.3; (C) up to 250 weight parts of an organic polyol; and (D) up to 10 weight parts of a cure rate modifier.

- 6. (Cancelled)
- 7. (Amended) The urethane composition according to Claim 1-or 4 further further comprising (C) an organic polyol.
- 8. (Amended) The urethane composition according to any of Claims 1, 4, or 7 further comprising (D) a cure rate modifier.
- 9. (Amended) The urethane composition according to $\frac{1}{1}$ to 8 wherein: the alkyl group is methyl;

the aryl group is phenyl;

the carbinol group free of aryl groups having at least 3 carbon atoms is selected from a group having the formula R⁴OH wherein R⁴ is selected from

- (1) a group having the formula - $(CH_2)_x$ where x has a value of 3 to 10,
- (2) -CH₂CH(CH₃)-,
- (3) -CH₂CH(CH₃)CH₂-,
- (4) -CH2CH2CH(CH2CH3)CH2CH2CH2-, and
- (5) a group having the formula -OCH(CH₃)(CH₂)_x- wherein x has a value of 1 to 10

and a group having the formula $R^6(OH)$ wherein R^6 is a group having the formula - $CH_2CH_2(CH_2)_xOCH_2CH$ - wherein x in each case has a value of 1 to 10; the aryl-containing carbinol group having at least 6 carbon atoms is a group having the formula R^5OH wherein R^5 is selected from

- (1) a group having the formula -(CH₂)_xC₆H₄- wherein x has a value of 0 to 10,
- (2) a group having the formula -CH₂CH(CH₃)(CH₂)_xC₆H₄- wherein x has a value of 0 to 10, and
- (3) a group having the formula $-(CH_2)_xC_6H_4(CH_2)_x$ wherein x has a value of 1 to 10.
- 10. (Amended) The urethane composition of any of Celaims 1 to 9 where a has a typical value of 0.1 to 0.6, b has a typical value of 0 to 0.4, c has a typical value of 0.3 to 0.8, and d has a typical value of 0 to 0.3.
- 11. (Amended) The urethane composition according to any of-Claims 1 to 8-wherein the carbinol-functional silicone resin is selected from carbinol-functional silicone resins comprising the units: $((CH_3)_3SiO_{1/2})_a$ $((R^2)CH_3SiO_{2/2})_b \text{ where } R^2 = -(CH_2)_3C_6H_4OH$ $((C_6H_5)CH_3SiO_{2/2})_b \text{ and}$ $(C_6H_5SiO_{3/2})_c,$ carbinol-functional silicone resins comprising the units: $((R^1)(CH_3)_2SiO_{1/2})_a \text{ where } R^1 = -(CH_2)_3C_6H_4OH \text{ and}$ $(C_6H_5SiO_{3/2})_c,$ carbinol-functional silicone resins comprising the units: $((R^1)(CH_3)_2SiO_{1/2})_a \text{ where } R^1 = -(CH_2)_3C_6H_4OH \text{ and}$ $(CH_3SiO_{3/2})_c,$ carbinol-functional silicone resins comprising the units: $((R^1)(CH_3)_2SiO_{1/2})_a \text{ where } R^1 = -(CH_2)_3C_6H_4OH \text{ and}$ $(CH_3SiO_{3/2})_c,$

carbinol-functional silicone resins comprising the units:

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3OH$ and

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 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$

(CH₃SiO_{3/2})_c and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

 $((CH_3)_3SiO_{1/2})_a$

$$((R^2)CH_3SiO_{2/2})_b$$
 where $R^2 = -(CH_2)_3OH$

 $((C_6H_5)CH_3SiO_{2/2})_b$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

 $((CH_3)_3SiO_{1/2})_a$

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -CH_2CH(CH_3)CH_2OH$

((H)(CH₃)₂SiO_{1/2})_a and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$

 $(CH_3SiO_{3/2})_c$

wherein a has a typical value of 0.1 to 0.6, b has a typical value of zero to 0.4, and c has a typical value of 0.3 to 0.8.

- 12. (Amended) The urethane composition according to any of Claims 1-to 11, wherein greater than 10 weight percent of the $R^1+R^2+R^3$ groups are phenyl.
- 13. (Amended) The urethane composition according to any of Claims 1 to 12 wherein the urethane composition further comprises at least one ingredient selected from fillers, Page 7 of 15

solvents, plasticizers, pigments, colorants, dyes, surfactants, thickeners, heat stabilizers, leveling agents, anti-cratering agents, fillers, sedimentation inhibitors, ultraviolet-light absorbers, promoters, heat stabilizers, ultraviolet-light absorbers, and antioxidants.

- 14. (Amended) The urethane composition according to any of Claims 1 to 13 wherein the urethane compositions further comprise at least one cell stabilizer and at least one blowing agent, and optionally chain extenders and crosslinkers.
- 15. (Original) The urethane composition according to Claim 14, wherein the cell stabilizer is a silicone polyether and the blowing agent is selected from water, liquid carbon dioxide, CFCs, HCFCs, HFCs, and pentane.
- 16. (New) The urethane composition of Claim 2, where the mole ratio of carbinol groups to isocyanate groups is from about 0.8:1 to 1.2:1
- 17. (New) The urethane composition of Claim 5, where the mole ratio of carbinol groups to isocyanate groups is from about 0.8:1 to 1.2:1
- 18. (New) The urethane composition according to Claim 2 wherein the carbinol-functional silicone resin is selected from carbinol-functional silicone resins comprising the units:

$$((CH_3)_3SiO_{1/2})_a$$

$$((R^2)CH_3SiO_{2/2})_b$$
 where $R^2 = -(CH_2)_3C_6H_4OH$

$$((C_6H_5)CH_3SiO_{2/2})_b$$
 and

$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3C_6H_4OH$ and

$$(C_6H_5SiO_{3/2})_c$$
,

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3C_6H_4OH$ and

 $(CH_3SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3OH$ and

 $(C_6H_5SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$

(CH₃SiO_{3/2})_c and

 $(C_6H_5SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

 $((CH_3)_3SiO_{1/2})_a$

 $((R^2)CH_3SiO_{2/2})_b$ where $R^2 = -(CH_2)_3OH$

 $((C_6H_5)CH_3SiO_2/2)_b$ and

 $(C_6H_5SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

 $((CH_3)_3SiO_{1/2})_a$

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3OH$ and

 $(\mathrm{C}_6\mathrm{H}_5\mathrm{SiO}_{3/2})_{\mathrm{c}},$

carbinol-functional silicone resins comprising the units:

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -CH_2CH(CH_3)CH_2OH$

 $((H)(CH_3)_2SiO_{1/2})_a$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3OH$

 $(CH_3SiO_{3/2})_c$

wherein a has a typical value of 0.1 to 0.6, b has a typical value of zero to 0.4, and c has a typical value of 0.3 to 0.8.

19. (New) The urethane composition according to Claim 5 wherein the carbinol-

functional silicone resin is selected from

carbinol-functional silicone resins comprising the units:

$$((CH_3)_3SiO_{1/2})_a$$

$$((R^2)CH_3SiO_{2/2})_b$$
 where $R^2 = -(CH_2)_3C_6H_4OH$

$$((C_6H_5)CH_3SiO_{2/2})_b$$
 and

$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3C_6H_4OH$ and

$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3C_6H_4OH$ and

$$(CH_3SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$ and

$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$

$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((\mathrm{CH_3})_3\mathrm{SiO}_{1/2})_a$$

$$((R^2)CH_3SiO_{2/2})_b$$
 where $R^2 = -(CH_2)_3OH$

$$((C_6H_5)CH_3SiO_{2/2})_b$$
 and

$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$ and

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$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -CH_2CH(CH_3)CH_2OH$

 $((H)(CH_3)_2SiO_{1/2})_a$ and

 $(C_6H_5SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$

 $(CH_3SiO_{3/2})_c$

wherein a has a typical value of 0.1 to 0.6, b has a typical value of zero to 0.4, and c has a typical value of 0.3 to 0.8.

20. (New) The urethane composition according to Claim 16 wherein the carbinol-

functional silicone resin is selected from

carbinol-functional silicone resins comprising the units:

$$((CH_3)_3SiO_{1/2})_a$$

$$((R^2)CH_3SiO_{2/2})_b$$
 where $R^2 = -(CH_2)_3C_6H_4OH$

$$((C_6H_5)CH_3SiO_{2/2})_b$$
 and

 $(C_6H_5SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3C_6H_4OH$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3C_6H_4OH$ and

(CH₃SiO_{3/2})_c,

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

$$\label{eq:charge_equation} \begin{split} &((R^1)(\text{CH}_3)_2\text{SiO}_{1/2})_a \ \ \text{where} \ R^1 = \text{-}(\text{CH}_2)_3\text{OH} \\ &(\text{CH}_3\text{SiO}_{3/2})_c \quad \text{and} \\ &(\text{C}_6\text{H}_5\text{SiO}_{3/2})_c, \\ &\text{carbinol-functional silicone resins comprising the units:} \\ &((\text{CH}_3)_3\text{SiO}_{1/2})_a \\ &((R^2)\text{CH}_3\text{SiO}_{2/2})_b \ \ \text{where} \ R^2 = \text{-}(\text{CH}_2)_3\text{OH} \end{split}$$

 $((C_6H_5)CH_3SiO_{2/2})_b$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

 $((CH_3)_3SiO_{1/2})_a$

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3OH$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -CH_2CH(CH_3)CH_2OH$

 $((H)(CH_3)_2SiO_{1/2})_a$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$

 $(CH_3SiO_{3/2})_c$

wherein a has a typical value of 0.1 to 0.6, b has a typical value of zero to 0.4, and c has a typical value of 0.3 to 0.8.

21. (New) The urethane composition according to Claim 17 wherein the carbinol-functional silicone resin is selected from carbinol-functional silicone resins comprising the units:

 $((CH_3)_3SiO_{1/2})_a$

 $((R^2)CH_3SiO_{2/2})_b$ where $R^2 = -(CH_2)_3C_6H_4OH$

 $((C_6H_5)CH_3SiO_{2/2})_b$ and

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 $(C_6H_5SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3C_6H_4OH$ and

 $(C_6H_5SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

 $((R^1)(CH_3)_2SiO_{1/2})_a \ \ \text{where} \ R^1 = \text{-}(CH_2)_3C_6H_4OH \ \ \text{and}$

 $(CH_3SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3OH$ and

 $(C_6H_5SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3OH$

(CH₃SiO_{3/2})_c and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

 $((CH_3)_3SiO_{1/2})_a$

 $((R^2)CH_3SiO_{2/2})_b$ where $R^2 = -(CH_2)_3OH$

 $((C_6H_5)CH_3SiO_{2/2})_b$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

 $((\mathrm{CH_3})_3\mathrm{SiO}_{1/2})_a$

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3OH$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -CH_2CH(CH_3)CH_2OH$

 $((H)(CH_3)_2SiO_{1/2})_a$ and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

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 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3OH$ $(CH_3SiO_{3/2})_c$

wherein a has a typical value of 0.1 to 0.6, b has a typical value of zero to 0.4, and c has a typical value of 0.3 to 0.8.